



**TATA MUTUAL FUND**  
Mulla House, Ground Floor, M.G. Road, Fort, Mumbai - 400 001  
**SYSTEMATIC TRANSFER PLAN FORM (Including Flex STP)**

**1. ADVISOR DETAILS***Refer Instruction 2.*

<b>ARN / RIA <sup>^</sup> Code</b> <div style="text-align: center; font-size: 1.2em; color: blue;">ARN-181211</div>	<b>Sub-Broker ARN Code</b>	<b>Sub-Broker / Bank Branch Code</b>	<b>EUN Code</b> <div style="text-align: center; font-size: 1.2em; color: blue;">E</div>
<b>Internal Code</b>		<small>OR <input type="checkbox"/> Declaration for "execution-only" transaction - I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction. <sup>^</sup> By mentioning RIA code, I / we authorize you to share with the SEBI Registered Investment Adviser (RIA) the details of my / our transactions in the schemes(s) of Tata Mutual Fund.</small>	
<b>Sole / 1st Applicant Signature / Thumb Impression</b>		<b>2nd Applicant Signature / Thumb Impression</b>	
<b>3rd Applicant Signature / Thumb Impression</b>			

**2. INVESTOR DETAILS****Folio No.**

<b>1<sup>st</sup> Holder Name</b>			<b>PAN</b>
<b>C-KYC</b>	<b>Date of Birth</b> D D / M M / Y Y Y Y	<b>Mobile No.</b>	<b>Mobile belongs to</b> <input type="checkbox"/> Self <input type="checkbox"/> Parent <input type="checkbox"/> Spouse <input type="checkbox"/> Child
<b>2<sup>nd</sup> Holder Name</b>			<b>PAN</b>
<b>C-KYC</b>	<b>Date of Birth</b> D D / M M / Y Y Y Y	<b>Mobile No.</b>	<b>Mobile belongs to</b> <input type="checkbox"/> Self <input type="checkbox"/> Parent <input type="checkbox"/> Spouse <input type="checkbox"/> Child
<b>3<sup>rd</sup> Holder Name</b>			<b>PAN</b>
<b>C-KYC</b>	<b>Date of Birth</b> D D / M M / Y Y Y Y	<b>Mobile No.</b>	<b>Mobile belongs to</b> <input type="checkbox"/> Self <input type="checkbox"/> Parent <input type="checkbox"/> Spouse <input type="checkbox"/> Child

**3. PURPOSE OF FORM (tick any one)**

<input type="checkbox"/> Fresh Registration	<input type="checkbox"/> Cancellation
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**4. SYSTEMATIC TRANSFER DETAILS**

<b>Flex STP</b> <i>Refer Instruction 5</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No (Default)	<small>Flex STP is available for Monthly and Quarterly frequencies; Flex STP is not available from "Daily / Weekly" IDCW plans of the source schemes; Flex STP is available only in "Growth" option of the target scheme.</small>
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**Scheme Details**

<b>Source Scheme / Plan / Option</b>	
<b>Target Scheme / Plan / Option</b>	
<b>Target Scheme Sub Option</b>	<b>Div. Payout Option: (select any one)</b> <input type="checkbox"/> Div. Reinvest <input type="checkbox"/> Div. Payout

**Transfer Plan Details (Select any one) Flex STP is applicable only under Fixed Amount Transfer Plan.**

<input type="checkbox"/> Fixed Amount Transfer Plan (FATP) <b>1<sup>st</sup> Installment for Flex STP</b>	<b>Amount in Rs.</b> ₹	<b>Amount in Words</b>
<input type="checkbox"/> Fixed Units Transfer Plan (FUTP)	<b>Number of Units</b>	<b>Units in Words</b>
<input type="checkbox"/> Capital Appreciation Transfer Plan (CATP)		<input type="checkbox"/> IDCW Transfer Plan (DTP)

**Transfer Frequency (Select any one - Not Applicable for IDCW Transfer Plan)**

<input type="checkbox"/> Daily	<small>Only from Monday to Friday [In case any day is a non-business day for any one of the schemes (either STP from or STP to scheme) the STP will be processed as per the matrix provided on our website <a href="http://www.tatamutualfund.com">www.tatamutualfund.com</a>.]</small>	
<input type="checkbox"/> Weekly		
<input type="checkbox"/> Monthly		
<input type="checkbox"/> Quarterly		
<div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Monday <input type="checkbox"/> Tuesday <input type="checkbox"/> Wednesday (Default) <input type="checkbox"/> Thursday <input type="checkbox"/> Friday</div><div><b>In case the day of STP is a non business day the request will be considered for the next business day.</b></div></div>		
<b>Days of the Month (Select any one)</b> <input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 7 <sup>th</sup> <input type="checkbox"/> 10 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup> <input type="checkbox"/> 28 <sup>th</sup>		

**Enrolment Period (Not Applicable for IDCW Transfer Plan)**

<b>Start Date</b> D D / M M / Y Y Y Y	<b>End Date</b> D D / M M / Y Y Y Y	<b>OR</b> <b>Number of Installments / Transfers</b>
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**5. DECLARATION AND SIGNATURES**

<small>I/We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents including the key information Memorandum and apply for allotment of Units of the Scheme(s) of Tata Mutual Fund ("Fund") indicated in this application form. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any disputes regarding the eligibility, validity and authorization of my/our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Date _____</small>		
<b>1<sup>st</sup> Applicant Signature / Thumb Impression</b>	<b>2<sup>nd</sup> Applicant Signature / Thumb Impression</b>	<b>3<sup>rd</sup> Applicant Signature / Thumb Impression</b>

**Acknowledgement Slip****Sr. No.:**

Received from Mr./Ms./M/s. \_\_\_\_\_ Folio No. \_\_\_\_\_ STP request

from Scheme \_\_\_\_\_ to Schemes \_\_\_\_\_

for ☐ Flex ☐ FATP ☐ FUTP ☐ CATP ☐ DTP for Amount (₹) \_\_\_\_\_ / Units \_\_\_\_\_ Subject to verification.